



UNIVERSITY OF NORTH CAROLINA
CHARLOTTE

Purchasing Manual

Guidance for faculty/staff regarding procurement and handling of supplies, materials, equipment, and services for UNC Charlotte business purposes.

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1. GENERAL INFORMATION

1.1 Purpose

UNC Charlotte is a public university subject to North Carolina laws governing state agencies and must adhere to these rules when furthering the mission of the University. The Materials Management Department at UNC Charlotte is an extension of the North Carolina Division of Purchase and Contract (P&C) with delegated authority to coordinate procurement activities for the campus.

1.2 Mission

Materials Management exists to support the University in all of its procurement related needs while always upholding UNC Charlotte's core values. Our goal is to provide excellent customer service to campus departments, vendors, and the general public when contracting for the purchase of goods and services. As stewards of public funds, we work to deliver quality results that are compliant with all state laws and regulations governing procurement, while also taking into account sound business practices that are fair, open, and ethical.

1.3 Code of Ethics

Materials Management personnel employ the core values of the University in the performance of their daily job functions. All employees maintain the highest ethical standards in the conduct of business on behalf of UNC Charlotte and the State of North Carolina.

We will always:

- Engage in fair, ethical, and legal business practices that promote UNC Charlotte's mission.
- Grant all competitive offers equal consideration to the extent State regulations and the established policies of the University permit.
- Abide by the North Carolina General Statutes and Administrative Code governing procurement.
- Conduct business with potential and current suppliers in an atmosphere of mutual respect and integrity.
- Strive to obtain the maximum value for every public dollar spent.
- Decline personal gifts or gratuities.

1.4 Conflict of Interest

It is the responsibility of each staff member in Materials Management to take all appropriate steps to ensure that the University does not knowingly enter into any purchase commitment which could result in a conflict of interest situation.

1.5 Authority

The North Carolina Division of Purchase and Contract (P&C) was established by the General Assembly as part of the state's Department of Administration. P&C establishes procedures for acquiring commodities and services, along with prescribing forms,

consistent language, terms and conditions, and advertising requirements applicable to all agencies for procurement actions. The procedures, forms, consistent language, terms and conditions, and advertising requirements shall be established by taking into consideration market conditions, trends, legal requirements, and any other factors determined to be in the State's best interest. P&C administers the General Statutes 143-48 through 143-64 and the Administrative Code, Chapters 05A through 05D, which govern procurement related activities.

1.6 Delegation

The Purchasing Office is the centralized campus department given authority by P&C to acquire products and services on behalf of UNC Charlotte in accordance with the North Carolina procurement laws. The State requires that all purchases adhere to established procurement laws regardless of the source of the funds.

The University's delegated purchase limit is \$500,000 for goods and services, and any purchase request that exceeds that amount will be sent to P&C in Raleigh for further processing and approval. There are occasions where the Purchasing Office can request a special, one-time, increased delegation to coordinate the purchase on campus and those requests are handled on a case-by-case basis.

Departmental Funds

The University designates budgetary allotments to colleges and departments. The department is responsible for the expenditure of these funds and the initial approval for any purchase is at the departmental level.

Grants

Spending of budgeted funds from grants or contracts must receive initial authorization from the Principal Investigator or Project Director. Grant fund expenditures are subject to the same North Carolina procurement laws as expenditures from State funds.

Contracts

The Purchasing Office has the approval responsibility for all procurement contracts entered into by the University. University personnel must not sign any contract documents unless they are specifically authorized to do so by University Policy Statement #603.1. No contracts will be signed prior to a complete review of the terms and conditions as well as proper application of the contract checklist.

Materials purchased with University funds are the property of the State and not intended for personal use, therefore, use of University supplies or equipment for personal benefit is not permissible. State and University policy prohibit the Purchasing Office from entering into any purchase contracts for the personal use or gain of employees. All materials purchased by or in the name of the University remain the property of the State until consumed or disposed of through approved surplus property procedures.

Exceptions to this policy are items purchased explicitly for retail sale, such as items handled by the Bookstore or purchased by the Niner Tech Store. All purchase orders issued by the Purchasing Office must be for official use by University departments.

Purchases charged against University funds that are made contrary to University policies may be the personal responsibility of the individual placing the order.

The use of an individual's personal credit card is NOT a University approved method for making purchases and is to be avoided. If an employee submits a reimbursement request for goods or services that should have been purchased using approved University procurement methods, the reimbursement may be subject to one of the following actions:

- A good or service that should have been sourced through 49er Mart or using the p-card at a lower, contracted cost would only be reimbursed for the lower cost that would have been incurred through 49er Mart or with a p-card.
- Purchases that include sales taxes that otherwise would have been exempt through 49er Mart or the p-card, would not have the sales tax amount reimbursed.
- A good or service that should have been purchased through 49er Mart or p-card would be charged a transaction fee to process the reimbursement and have that amount deducted from the total of the request.

1.7 Compliance

UNC Charlotte is subject to compliance audits conducted by P&C to ensure all procurement actions are processed in accordance with established rules. The actions taken by the Purchasing Office when processing departmental requests are all aimed at adhering to the state's procurement rules. The goal of the compliance audit is to receive zero findings or citations for not following the State's rules. These audits are conducted once every eighteen months and include all purchase order, p-card, and direct pay (eCR) transactions.

2. ORGANIZATION

The Materials Management Department at UNC Charlotte is a Division of Business Affairs and reports to the Associate Vice Chancellor for Finance. It is divided into two sub-departments: the Purchasing Office and Warehouse Operations which incorporates both the warehouse as well as Inventory Control & Surplus Property. The Purchasing Office is located on the third floor of the Reese Building and the Warehouse Operation is located in the warehouse building next to the Facility Operations and Parking Building at Cameron Blvd. and Poplar Lane.

2.1 Purchasing Office

The Purchasing Office is responsible for issuing official purchase orders and coordinating solicitation processes to meet the needs of campus departments.

Contracts for the purchase of goods and services are all routed to the Purchasing Office for review, editing for compliance to North Carolina contracting laws, and routed for authorized signature by the University. The University employs six Purchasing Agents and these agents are assigned to specific departments to assist with all procurement needs.

2.2 Warehouse Operations

2.2.1 Warehouse

The central warehouse receives packages that are not brought directly to the end using department, assists in coordinating returns, warehouses supplies, and manages surplus property storage. Many vendors provide desktop delivery directly to departments, but for those who only deliver to the central warehouse, the warehouse staff will distribute items to the requesting departments. The warehouse maintains an inventory of some commonly used supplies and equipment such as paper towels, toilet tissue, office paper, and desk chairs for purchase by campus departments.

2.2.2 Inventory Control & Surplus Property (ICSP)

This sub-department is responsible for an annual verification of an accurate inventory of all equipment purchased at an initial cost of \$5,000.00 or more. Campus departments conduct the annual inventory in conjunction with the University Fixed Assets department and send the information to ICSP for verification. All location changes, disposal, or loss of equipment should be reported to Fixed Assets so that appropriate action can be taken and records updated.

Warehouse staff are responsible for disposing of surplus materials in accordance with the rules and regulations of both the State of North Carolina and the University. Surplus property that may be used at a later date by departments on campus is stored in the warehouse, and discarded surplus property will be sold via public auction in accordance with the rules of the State.

3. POLICIES & PROCEDURES

3.1 North Carolina Correction Enterprises (Dept. of Adult Correction)

All state entities, including UNC Charlotte, are required by North Carolina General Statute to make purchases from the Division of Correction Enterprises of the Department of Adult Correction for all items that they offer. Correction Enterprises (CE) produces items such as furniture, signage, benches, grills, and cleaning supplies that are available for purchase by state entities. We are required by law to give CE the opportunity to provide these items and are not allowed to make purchases from other vendors for the items CE can provide unless they cannot produce a comparable product, cannot meet delivery deadlines, or are more expensive than an alternative provider. The Purchasing Office will work with the requesting department and CE to secure quotes for the items they can provide when department needs arise.

3.2 Non-Profit Centers for the Blind and Severely Disabled

Similar to Correction Enterprises, the Non-Profit Centers for the Blind and Severely Disabled (NPCBSD) provide various items to state entities such as batteries, janitorial supplies, mattresses, and prescription eyewear. We are required to utilize the NPCBSD for any items they offer and may only use alternate vendors if they cannot provide a comparable product, cannot meet delivery deadlines, or are more expensive. The Purchasing Office will work with the NPCBSD and the campus department to secure quotes for requested items.

3.3 North Carolina State Term Contracts

The State solicits competition and enters into State Term Contracts with vendors to acquire favorable pricing for many commonly used goods and services. These contracts are available for use by all State agencies and do not require securing additional competition at the University level. A vendor holding a State Term Contract is contracted only for the goods or services listed on that contract and not necessarily for all items or services offered by that vendor.

Most of these State Term Contracts are mandatory use by all State agencies and the University is required to purchase the contracted items from the specified vendors. As it applies to the UNC system, these mandatory State Term Contracts are to be used whenever possible unless the item is available for a lower, delivered cost than is offered on the contract. NCGS 116-13(b) allows for the purchase of a contracted item from a non-State Term Contract vendor if the item cost, including delivery, is less than available on a mandatory use State Term Contract.

The State also offers some State Term Contracts that are a convenience for State agencies, but use is not a mandatory requirement. The University encourages use of these State Term Contracts as well because the competition rules of the State have already been satisfied and securing additional competition is not required. Contact your Purchasing Agent to inquire about State Term Contracts and which ones are mandatory use.

Information about the items on various State Term Contracts is found at:

[North Carolina Term Contracts](#)

3.4 UNC Charlotte Convenience Contracts

When there is no mandatory use North Carolina State Term Contract available for the routine purchase of particular goods or services, the University will solicit competition and enter into convenience contracts with vendors for these items or services. The Purchasing Office will compile specifications or the scope of work and issue solicitations that will result in multi-year, convenience contracts available for use by all campus departments. A vendor under a convenience contract is contracted only for the goods or services listed on that contract and not necessarily for all items or services offered by that vendor. Contact the Purchasing Office with questions about use of these contracts, or to request a solicitation to establish a contract for particular commodities or services.

A complete list of these convenience contracts is available on the Purchasing website: [UNC Charlotte Term Contracts](#)

3.5 Consortium Contracts

The University is a member of multiple purchasing consortia, but current guidance received from the Division of Purchase & Contract does not authorize the University or any State Agency to utilize them. Contact the Purchasing Office with questions.

3.6 Small Dollar Purchases

The North Carolina Administrative Code defines small dollar purchases of goods and services as those that do not exceed \$31,000 and are not available on a North Carolina State Term Contract. While formal quotes are not required for these requests, it is helpful when they accompany a requisition entered into 49er Mart. If a quote has already been secured for a commodity or service, it should be attached to the 49er Mart requisition to enhance the clarity of the request. Purchasing Agents will be happy to secure written quotes whenever needed by the using campus department.

Generally, standard requests not covered by a State Term Contract that do not exceed \$31,000.00 will not require competition if the price is determined to be fair and reasonable, and purchases can be made from the supplier of the end user's choice. However, purchases must be distributed equitably among qualified suppliers, and sound business practices should still be observed to ensure the price received from the supplier is fair and reasonable.

An exception to the small dollar purchase rules is a purchase request that will be processed using Federal grant funds. These requests fall under Federal Uniform Guidance policies and are subject to Federal Acquisition Requirements that have competition rules more stringent than those of North Carolina. Federally funded grant purchases will require competition once the cost exceeds \$10,000 and the Purchasing Office will address these requests on a case-by-case basis.

The procedures listed below explain the procurement process to be followed for small dollar purchases of goods and services.

- The campus department will determine their need for the goods or services and estimate the total cost.
- If the total cost for the aggregate need does not exceed \$31,000, the small dollar procurement rules will apply. However, if the total cost exceeds that threshold, competition will be sought, or waiver of competition justification will be required.
- Departments are encouraged, but not required, to collect two or three written quotes to determine the best value for small dollar purchases.
- The department will enter a requisition onto the 49er Mart system to the preferred supplier and attach any supporting documentation.

- The Purchasing Office will review the request and after that is complete, will add an internal note stating that the price is fair and reasonable.

Please note that it is not permissible to divide requests into multiple, smaller requests with the intent of circumventing the State's competition requirements.

3.6.1 Small Dollar Information Technology (IT) Requests

The North Carolina Administrative Code related to IT Procurement defines small dollar IT related purchases, including software, as those that do not exceed \$31,000 and are not available on a North Carolina Division of IT State Term Contract. Per NCAC 06B.0301 (c) and further stated in the state's Division of IT Purchasing Manual, sections 3.3 and 3.5, these purchases do not require competition to be sought. This rule applies to IT related purchases and is applied to IT related purchases by all campus departments, not simply those procured by OneIT. The procedures listed below explain the procurement process to be followed for small dollar IT purchases, but do not supersede or eliminate internal restrictions or review requirements put in place by OneIT.

- The campus department will determine their need for the IT related goods or services, including software, and estimate the total cost.
- If the total cost for the aggregate need does not exceed \$31,000, the small dollar IT procurement rules will apply. However, if the total cost exceeds that threshold, a competitive solicitation or waiver of competition justification will be required.
- Departments are encouraged, but not required, to collect two or three written quotes to determine the best value for small dollar IT purchases.
- The department will enter a requisition onto the 49er Mart system to the preferred supplier and attach any supporting documentation.
- The Purchasing Office will review the request, and if OneIT review and/or approval is necessary, instruct the department to complete the OneIT Smartsheet for IT Related Purchase Requests.
 - OneIT will review the request and communicate directly with the using department for any follow-up questions.
 - After the department received OneIT approval to proceed, they must reach out to the Purchasing Office for guidance on the next steps.
- After all reviews are complete, the Purchasing Office will add an internal note referencing NCAC 06B.0301, approve the requisition, if applicable, and a purchase order will be issued.

Please note that it is not permissible to divide requests into multiple, smaller requests with the intent of circumventing the State's competition requirements.

3.7 Competition Requirements

3.7.1 Informal Solicitations-Requests \$31,000.01-\$100,000

At least three written quotes are required for requests that exceed \$31,000 and the Purchasing Office will work with departments to assist in finding multiple sources of supply for goods and services. Departments are welcome to solicit quotes from suppliers on their own and these quotes should be forwarded to the Purchasing Office when the requisition is entered into 49er Mart so that they can be maintained with the procurement file. Per State procurement rules, the purchase must be made from the lowest quoting offeror meeting requirements. The Purchasing Office will coordinate the informal bid process, which also includes having the awarded vendor complete the State's standardized Request for Quote (RFQ) document where they are required to agree to the State's terms and conditions of purchase.

Please note that it is not permissible to divide requests into multiple, smaller requests with the intent of circumventing the State's competition requirements.

3.7.2 Formal Solicitations-Requests over \$100,000

Purchase requests that exceed \$100,000.00 require formal soliciting of offers coordinated by the Purchasing Office. Only the Purchasing Office is authorized by the State to issue formal solicitations for goods and services; campus departments may NOT conduct these processes on their own under any circumstances.

The State of North Carolina provides the standard templates and all terms that must be included in every formal Invitation for Bid or Request for Proposal issued by UNC Charlotte. The end using department will provide all specifications, requirements, and scope of work, as applicable, to the Purchasing Office for use in the compilation of the document. The Purchasing Office staff will customize the document with the specifications or scope of work provided by the department and present a finalized version for review prior to advertising the requirement to the public.

The solicitation document will be advertised on the State of North Carolina's Electronic Vendor Portal (eVP) website <https://evp.nc.gov/solicitations/>. Formal solicitations must be advertised for a minimum of ten (10) calendar days, but typically are advertised for two (2) to four (4) weeks, depending on the complexity.

Throughout the formal solicitation process, from the time the document is issued through the time the contract award is finalized, all contact with the vendors must be coordinated by the Purchasing Office. The end using department should have no direct interaction with the vendors relating to the solicitation.

Vendors must complete, sign, and return the pages from the formal solicitation document that require vendor input along with any other noted documentation in order to be considered responsive and eligible for award; simply providing a quotation is not an acceptable response and cannot be considered for award.

Please note that it is not permissible to divide requests into multiple, smaller requests with the intent of circumventing the State's formal competition requirements.

3.7.3 Types of Formal Solicitations

3.7.3.1 Sealed Invitation for Bid

- Specifications are provided by the end using department and the formal document is compiled by the Purchasing Office.
- Adequate notice of the IFB must be advertised on eVP and remain active for at least ten (10) days. If a pre-bid conference is scheduled, it will be scheduled at least seven (7) days after the advertisement begins.
- Bids will be downloaded from eVP at the designated time in the invitation for bids. The amount of each bid, and other relevant information as may be specified by regulation, together with the name of each bidder, will be tabulated and open to public inspection.
- Bids must be accepted unconditionally without alteration or correction, except as otherwise authorized in the Administrative Code.
- Withdrawal of an offer must be requested in writing prior to the award of a contract. Correction or clarification of erroneous or unclear bids prior to award may be investigated as necessary and clarification permitted, but no change in pricing will be allowed. After bid opening, changes in bid prices or other provisions of bids prejudicial to the interest of the State or fair competition shall not be permitted.
- Application of NC Executive Order #50 (EO50)
 - In order to be eligible, bidders must have their **principal place of business based** in North Carolina; simply having a location in the state does **not** automatically make a bidder eligible.
 - When a North Carolina based bidder requests application of the EO50 preference, their bid amount must be compared to the low bidder for each item to see if they are eligible to price match.
 - The NC bidder must be within the **lesser** of \$10,000 or 5% of the amount offered by the low bidder. If the NC bidder is outside these parameters, they are not eligible to price match.
 - If the NC bidder falls within the eligible parameters, they will be contacted in writing and given the opportunity to match the price offered by the low bidder. The NC bidder must respond within three (3) business days.
 - EO50 does not apply to construction or service solicitations
- Tie Bids. If two or more bidders are tied in price while otherwise meeting all of the required conditions, awards are determined in the following order of priority:
 - (a) If there is a North Carolina firm tied with an out-of-state firm, the award shall be made to the North Carolina firm.
 - (b) In all other situations in which bids are tied, the award must be made to the bidder offering the quickest delivery time, or if the tied bidders have offered the same delivery time, the tie must be resolved by the flip of a coin witnessed by the Procurement Officer.
- A minor informality or irregularity is one which is merely a matter of form or has no effect on total bid price, quality, quantity, or delivery of the supplies or performance

of the contract. Provided the correction or waiver of the minor informality would not be prejudicial to other bidders or the University, the Procurement Officer shall either give the bidder an opportunity to cure any deficiency resulting from the minor informality or waive any such deficiency when it is to the advantage of the State. Such communication or determination shall be in writing and made a part of the procurement file. Examples of minor informalities or irregularities include, but are not limited to:

- (a) failure of a bidder to return the number of copies of signed bids required by the solicitation;
 - (b) failure of a bidder to furnish the required information concerning the number of the bidder's employees or failure to make a representation concerning its size;
 - (c) failure of a bidder to sign its bid, but only if the firm submitting the bid has formally adopted or authorized the execution of documents by typewritten, printed, or electronic signature and submits evidence of that authorization, and the bid carries that signature or the unsigned bid is accompanied by other material indicating the bidder's intention to be bound by the unsigned document, such as the submission of a bid guarantee with the bid or a letter signed by the bidder with the bid referring to and identifying the bid itself;
 - (d) failure of a bidder to acknowledge receipt of an amendment to a solicitation, but only if:
 - (i) the bid received indicates in some way that the bidder received the amendment, such as where the amendment added another item to the solicitation and the bidder submitted a bid, on it, if the bidder states under oath that it received the amendment before bidding and that the bidder will stand by its bid price; or
 - (ii) the amendment has no effect on price or quantity or merely a trivial or negligible effect on quality or delivery, and is not prejudicial to other bidders;
 - (e) failure of a bidder to furnish an affidavit concerning affiliates;
 - (f) failure of a bidder to execute the certifications with respect to equal opportunity and affirmative action programs;
 - (g) failure of a bidder to furnish cut sheets or product literature;
 - (h) failure of a bidder to furnish certificates of insurance;
 - (i) failure of a bidder to furnish financial statements;
 - (j) failure of a bidder to furnish references;
 - (k) failure of a bidder to furnish its bidder number; and
 - (l) the failure of a bidder to indicate his contractor's license number or other evidence of licensure, except that a contract must not be awarded to the bidder unless and until the bidder is properly licensed under the laws of North Carolina.
- Standard IFBs for goods and services do not have a requirement for a minimum number of bids received in order for the opening to take place. If only a single bid is received, the University may consider the bid and make an award to the sole responding vendor if all requirements are met and the using department deems the bid to be fair, reasonable, and that re-advertising the requirement would not result in additional competition. The using department must forward this determination, in writing, to the Purchasing Office for review and approval; if award is approved, the documentation will be added to the procurement file.

- IFBs issued for construction projects MUST have at least three bids received in order to be opened and reviewed. If fewer than three bids are received, they shall not be opened, and the project must be re-advertised, without any changes to the documents, for a minimum of seven (7) calendar days. After the second advertising, bids may be opened and considered for award regardless of the number of bids received.

3.7.3.2 Sealed Request for Proposal

- When the Purchasing Office determines that the use of a standard IFB is either not practicable or not advantageous to the State, a contract may be entered into through a proposal solicitation process that makes an award to the overall highest evaluated offeror. The purpose of the RFP process is to allow factors other than price to be considered in the determination of award for specific supplies, services, or information technology based on pre-determined criteria identified by the University.
- Specifications or a scope of work will be provided by the end using department, a solicitation document will be created by the Purchasing Office, and public notice of the solicitation will be posted to eVP in the same manner as provided with a standard IFB.
- Unlike a bid process, the only information made public at the time of the proposal opening is the number of offers received and the names of the offerors. A tabulation of proposals will be prepared, but will only be open for public inspection after contract award.
- The RFP must state the relative importance of the factors to be considered in evaluating proposals along with a numerical weighting for each factor. Price is an evaluation factor, but may be rated as high or low as deemed necessary to achieve the best solution.
- As provided in the RFP, discussions or presentations may be conducted with offerors for the purpose of clarification or to assure full understanding of, and responsiveness to, the solicitation requirements. Offerors must be accorded fair and equal treatment with respect to any opportunity for discussions. In conducting discussions, there must be no disclosure of confidential information derived from proposals submitted by competing offerors.
- Proposals must be evaluated using only the criteria stated in the request for proposals and there must be adherence to weightings that have been assigned previously. Once evaluation is complete, all responsive offerors must be ranked from most advantageous to least advantageous to the University, considering only the evaluation factors stated in the request for proposals.
- Award must be made to the responsive and responsible offeror whose proposal is determined in writing to be the most advantageous to the University, taking into consideration the evaluation factors set forth in the request for proposals. The award of the contract must be made only on the basis of evaluation factors that are stated in the RFP. The contract file must contain the basis on which the award is made and must be sufficient to satisfy external audit.

3.7.3.3 Cancellation of Solicitations

Prior to award, any solicitation may be cancelled, or any or all bids or proposals may be rejected in whole or in part as may be specified in the solicitation, when it is in the best interest of the State. The reasons for rejection, supported with documentation sufficient to satisfy external audit, will be made a part of the procurement file.

3.8 Waiver of Competition Criteria

There are items and services that may legitimately have only one source of supply and require a purchase order sent to that specific vendor. Any time it is requested that competition requirements be waived, a justification must be documented and provided to the Purchasing Office when the request is submitted. This justification must clearly state how this item or service is unique, why it is only available from the requested vendor, and why that specific item or service is needed at the University. As a means of making this process simpler for departments, a Waiver of Competition Justification form is available from the 'Forms' section of the Purchasing website and contains basic guidance to aid the user with completion. A formal justification memo or email from the department is also an acceptable method for justifying the request as long as all pertinent points are included; assistance with these justifications can always be provided by Purchasing Office staff. Each submitted justification will be reviewed and a decision will be rendered by the Purchasing Office as to whether the State's competition requirements may be waived to make the purchase.

Conditions permitting a waiver include, but are not limited to:

- There is only a single source of supply for the requested item/service;
- Performance or price competition is not available in the market;
- Required item or service is a patented product or process;
- Legitimate or pressing need or emergency situation is established (utilize the Pressing Need/Emergency Justification form);
- Competition has been solicited with no satisfactory offers received (written documentation must be provided);
- Standardization or compatibility with existing inventory or equipment is the overriding consideration;
- A donation establishes the source of supply;
- Particular medical product or service is needed;
- Particular prosthetic appliance is needed;
- Additional products or services are needed to complete an ongoing job or task;
- Products are bought for "over the counter" resale;
- Product or service is required for educational or training purposes;
- Required for an authorized cooperative project with another governmental unit(s) or a charitable non-profit organization(s);
- Used items that represent a good value to the University versus purchasing new.

3.9 Emergency Purchases

Emergencies are defined as situations that endanger life, property, or the continuation of vital programs. True emergency situations may require the immediate purchase of

equipment, materials, or services and the University is authorized to make such purchases up to its delegation of \$500,000. Departments must clearly explain the emergency circumstance, how the campus could be negatively impacted through non-action, and why the chosen supplier is the only/best fit to resolve the emergency. The Pressing Need or Emergency Purchase Justification form is available in the 'Forms' section of the Purchasing website and Purchasing Office staff can assist departments with completion as may be necessary. Similar to Competition Waiver requests, all emergency requests will be reviewed and a decision rendered by the Purchasing Office as to whether a true emergency exists and if the State's competition rules can be waived.

3.10 Exemptions from the Procurement Code

Certain purchases of goods and services are exempt from the rules of the North Carolina Administrative Code for procurement, and requests up to UNC Charlotte's delegation that fall into this category will be processed without the need for further documentation or competition. The exemptions are limited and specific in nature, so please contact the Purchasing Office for guidance as to whether a request may fall into one of the exemption categories.

Examples include, but are not limited to:

- Published books, periodicals, manuals, and subscriptions to like materials;
- Packaged, copyrighted software;
- Professional services provided by an individual such as doctors, attorneys, engineers, artists, or performers. This exemption applies only to contracts with individuals, NOT to contracts with companies;
- Utilities

3.11 Executive Order #50

Executive Order #50 (EO50) allows North Carolina resident bidders to match the low bid amount of an out of state vendor, provided their bid amount is within the lesser of 5% or \$10,000. When the University conducts a bid process, the bidders will be evaluated to determine if any are North Carolina based and if their amounts bid are within the allowable range. If they are, the resident bidder will be contacted and given the opportunity to match the out of state low bidders amount.

The EO50 preference only applies to commodities and is not applied to services, RFP processes, or construction procurements.

4. ADMINISTRATIVE PROCESSES

4.1 Bonding

There are three main types of bonding or surety that are regularly encountered as it relates to procurement processes; bid bonds, payment bonds, and performance bonds.

- Bid Bond: This type of bonding is a guarantee to the University that a bidder has the means to complete a project and will actually begin the work after award of the

contract. The intent of this type of bond is to avoid frivolous bids from contractors who do not have the means of performing the work.

- **Performance Bond:** This bond protects the University by providing a guarantee that the awarded contractor completes all aspects of the project in an acceptable manner. If there are uncured issues with the contractor's performance, the bond payment exists to make the University whole and provide funding to source a new contractor to complete unfinished portions of the project.
- **Payment Bond:** This bond is a guarantee that the prime contractor will pay all of its sub-contractors and suppliers for products and services used to complete the project. While this bonding does not provide any financial benefit to the University, it will guarantee that a completed project is delivered by the contractor free of any liens or obligations to other parties.

Bonds are generally not required for commodity and service solicitations; they are reserved for construction projects and other complex projects with a significant degree of risk involved. The State of North Carolina requires a bid bond equal to at least five percent (5%) of the total bid for all construction projects that exceed \$500,000. Performance and Payment bonds in an amount equal to one hundred percent (100%) of the total cost of the project are required for all projects that exceed \$500,000. While not required, departments may request a surety bonding requirement be included in the solicitation of bids for a project of any value under the \$500,000 threshold if it is deemed to be in the best interest of the University.

4.2 Green Purchasing

The North Carolina Department of Environmental Quality encourages environmentally preferred purchasing for all state agencies and provides guidance regarding sustainable procurement methods. UNC Charlotte, as an agency of North Carolina, will abide by all the state's green procurement practices to the greatest extent possible.

The University will strive to:

- Reduce waste and purchase fewer products when practicable
- Consider the environmental impact when making procurement decisions
- Purchase products that contain recycled content, prevent pollution, and reduce toxins or negative impacts on the environment
- Purchase products from North Carolina business when possible to minimize transportation costs and emissions, provided quality and performance are not compromised

The UNC Charlotte Office of Sustainability is the primary resource for all topics related to green and sustainable programs: <https://sustainability.charlotte.edu/>

4.3 Historically Underutilized Businesses Program

The North Carolina General Statutes require all State agencies to create a Historically Underutilized Business (HUB) program to increase purchases made from these firms.

Quarterly, the Purchasing Office must report to the State on all purchases that were made to certified HUB firms, so all University orders are tracked for these requirements.

The Purchasing Office works with the Facilities Management (FM) HUB Coordinator to provide meet and greet opportunities for these firms. Events are hosted by FM to discuss informal construction project opportunities, and a HUB Expo is presented annually by the Materials Management HUB Coordinator for goods and services. These engagements provide information to HUB firms about how to better do business with the University and how to become a certified HUB entity by the State.

4.4 Protests

All protests of contract awards up to the University's delegation of \$500,000 are addressed and processed by Director of Materials Management; any protests that exceed University delegation are addressed by the State Procurement Officer (SPO) at P&C in Raleigh.

In order to initiate a protest of an award up to \$500,000, the Vendor shall:

- Submit a written request for a protest meeting to the Director of Materials Management within thirty (30) calendar days from the date of the Contract award. The Director shall furnish a copy of this letter to the SPO within five (5) calendar days of receipt. The Vendor's request shall contain reasons why it has a concern with the award and any supporting documentation. If the request does not contain this information, or if the Director determines that the protest is meritless so that a meeting would serve no purpose, then the Director may, within ten (10) calendar days from the date of receipt of the request, respond in writing to the Vendor and refuse the protest meeting request. A copy of the Director's decision letter shall be forwarded to the SPO.
- If the protest meeting is granted, the Director shall schedule the meeting within thirty (30) calendar days after receipt of the request, unless another date is mutually agreed upon. Within ten (10) calendar days from the date of the protest meeting, the Director shall respond to the Vendor in writing with the Director's decision and the Vendor's appeal rights under Article 3 of G.S. 150B. A copy of the Director's decision letter shall be forwarded to the SPO.
- The University will notify the SPO in writing of any further administrative or judicial review of the Contract award.

In order to initiate a protest of an award that exceeds \$500,000, the Vendor shall:

- Submit a written request for a protest meeting to the SPO within thirty (30) calendar days from the date of the Contract award. The Vendor's request shall contain reasons why it has a concern with the award and any supporting documentation. If the request does not contain this information, or if the SPO determines that the protest is meritless so that a meeting would serve no purpose, then the SPO may, within ten (10) calendar days from the date of receipt of the request, respond in writing to the Vendor and refuse the protest meeting request.

- If the protest meeting is granted, the SPO shall schedule the meeting within thirty (30) calendar days after receipt of the request, unless another date is mutually agreed upon. Within ten (10) calendar days from the date of the protest meeting, the SPO shall respond to the Vendor in writing with the SPO's decision and the Vendor's appeal rights under Article 3 of G.S. 150B.
- The SPO shall notify the Secretary of any further administrative or judicial review of the Contract award.

4.5 Receipt of Goods and Services

All standard purchase orders for goods and services require a receipt on the eProcurement platform, 49er Mart, before an invoice can be processed for payment. Accurately completing a receiving document is critical for ensuring a three-way match (purchase order, invoice and receipt), which is necessary for timely vendor payment.

Receiving Requirements

- **Physical Possession is Required:** A receipt may not be entered into the system before the actual physical receipt of goods or the completion of services. Entering a receipt for items not yet received is a violation of State policy.
- **Accurate Receipt Date:** The receipt date entered in the system must closely reflect the actual date the goods were physically received or the services were rendered. For example, if carpet installation occurred between June 3-5, entering the receipt in July would be incorrect and subject to State review.
- **Timely Inspection and Acceptance of Goods:** The North Carolina Division of Purchase and Contract's [NC Procurement Manual](#) (Sect. 7.4, Review of Goods for Acceptance) explicitly states: "When goods are received from a vendor it is important that they are reviewed to ensure compliance with the contract and the purchase order and to confirm that the goods are not damaged. Goods shall be inspected at the time of receipt and compared against the packing slip, if possible. Prompt acceptance of goods received is important to prevent any vendor claims that goods were 'deemed accepted' by the state without actual inspection and acceptance by the agency."

To safeguard the State's interests and ensure compliance, departments must conduct immediate inspections of all goods upon physical receipt. Failure to promptly inspect and formally accept goods may limit the State's ability to seek remedies from the vendor.

- **Departmental Verification:** The ordering department is responsible for confirming that the item(s) or service(s) have been fully or partially received and verified before entering a receipt in 49er Mart.

- **No Pre-Payment for Expiring Funds:** Payment prior to receiving goods or services is prohibited when simply attempting to utilize expiring funds at the end of the fiscal year. See the exception below related to large projects.
- **Auto-Generated Invoice Notifications:** The eProcurement system will send an auto-generated notification when an invoice is entered for a purchase order. This notice is for informational purposes only and does not authorize entering a receipt before receiving the goods or services. Departmental staff must wait for the goods or services to arrive before completing a receiving document.

Partial Receiving

Partial receiving of orders is allowed. A receipt should be completed as items arrive, even if there are outstanding items on a particular purchase order. This process allows invoices for received items to be processed promptly, even though the complete order has not yet been delivered.

Exceptions

An exception to this receiving procedure exists for approved Electronic Check Requests (eCRs) because the system does not require a receipt to allow payment to process once the eCR has been fully approved. However, the eCR process may not be used to circumvent the standard purchase order and receiving process for purchases that would ordinarily require a purchase order.

For large projects, such as informal construction or capital equipment with long lead times, exceptions may be reviewed by the Purchasing Office on a case-by-case basis to allow for progress payments.

4.6 Records Retention

All procurement records at the University are retained and disposed of in accordance with records retention guidelines and schedules established by the State. Procurement related records are retained for a period of five (5) years after the conclusion of the contract period, and then destroyed.

4.7 Reporting Requirements

At the end of each quarter, the Purchasing Office must report to the State all purchases that were made from certified HUB firms. This report is due by the end of the month following the end of each preceding quarter.

The use of all consulting firms must be reported to the UNC System Office once per year and contain documentation on all consulting engagements undertaken. This annual report is sent to the AVC for Finance and the VCBA each July for review and

subsequent transmission to the System Office for all consulting engagements initiated during the previous fiscal year.

4.8 Unauthorized Purchases

The Purchasing Office is the sole campus department given authority by P&C to acquire goods and services on behalf of UNC Charlotte in accordance with North Carolina procurement laws. All departmental purchases must be made through use of the established e-procurement platform or p-card program; employees are not allowed to otherwise commit the University to a purchase from a vendor. The University is not legally bound to acquisitions made outside of established procurement rules, and individuals who commit to purchases, whether verbally or in writing, are personally responsible for the purchase and may be held liable by the University.

4.9 Vendor Creation in the 49er Mart System

Vendors must be active in the University's e-procurement system, 49er Mart, before a requisition can be entered or a purchase order issued. Vendors must also be active on the system before a contract process can be initiated through the Contracts+ module. Each request will be vetted to ensure documentation is complete, the vendor is not barred from doing business with the State or at the Federal level, and that there are no other issues with making purchases from them.

Departments who would like to have a new vendor added to the e-procurement system should log onto 49er Mart and submit a New Supplier Request form to request the new vendor be invited to register with the University.

5. SPECIFIC PROCUREMENTS

5.1 Bookstore Purchases

Barnes & Noble holds the contract with the University to operate the campus bookstore. The Campus bookstore should be the first point of contact for all textbook and other book orders as their contract stipulates a 20% discount on all University orders. If the bookstore is unable to order the particular book required, off-campus sources can then be explored. University departments placing an order simply need to contact the bookstore and provide the fund/account number to be used for the order and the funds will be transferred after the items are delivered.

5.2 Building or Office Maintenance and Repairs

All building and grounds repair requests, whether minor or major renovations, are submitted online through Facility Management's Work Order system. Detailed instructions are available at the Facilities Management website.

5.3 Conference Facilities

The University constructed a hotel and conference center on campus that is operated by Marriott hotels and available for departmental events. The proximity and partnership

between the University and Marriott make this hotel an ideal spot to hold events that are planned by campus departments. As departments are in the process of coordinating conferences, meetings, and other events, they are encouraged to reach out to the on campus Marriott to receive a price quote for hosting the event. The Marriott will provide a pricing estimate along with a rental agreement containing terms applicable to the event. If the department decides to move forward with the on campus Marriott, the agreement should be forwarded to the Purchasing Office for review and negotiation as with any other event rental contract.

Event space reservations are unique and each is addressed by the Marriott on a case by case basis, so departments should reach out to the hotel to obtain a written quote for the hosting and catering of events.

The Marriott also offers discounted room rates to the University and all reservations will receive a 15% discount off the best available rates. If departments have a need to host a guest and provide a room, the on campus Marriott should be the first choice for providing accommodations. When reserving rooms at the on campus hotel, use the discount code, **4UN**.

5.4 Construction Projects

Informal construction projects that do not exceed \$300,000 are processed in a similar manner to purchases of goods and services up to this dollar amount. The Purchasing Office works in coordination with the Facilities Management department to ensure all competition requirements of the State are met prior to award of any informal construction contract. Informal construction projects that do not exceed \$100,000 are not subject to the competitive requirements of the State and may be awarded directly to a qualified contractor by Facilities Management. An Informal construction project contract must be submitted by Facilities Management as an attachment to the 49er Mart requisition before the request can be approved. Contractors are required to provide proof of insurance coverage for all projects before being allowed to come onto campus and perform work. A Certificate of Insurance must be on file with the university before any projects can begin.

Large construction projects that exceed \$300,000 require formal bidding done in conjunction with the State Construction Office (SCO). Facilities Management coordinates all of these large projects with the State and the Purchasing Office is not directly involved in the solicitation or award process.

5.5 Consultants

Consulting services are those provided by a contractor who is not actively involved in the process being studied, but rather provides expert, outside insight about how to complete a task or perform it more efficiently. They possess specialized knowledge, experience, expertise, or professional qualifications that make them ideally suited to provide insights and direction. Generally, consultants are not managed by University personnel and are tasked with analyzing the situation in the manner they deem to be

best. After they have collected and analyzed their data, they provide recommendations to the University about how to complete or alter the subject matter in question.

When a department would like to secure a consultant to assist on a particular project, the purchase will be addressed in the same manner as any other request for a service provider. The RFP process is the best method to follow to secure a consultant, but if a particular consultant has already been identified by the using department, a well-written justification to utilize a particular individual or firm may be considered as a means for bypassing the competitive selection process.

The State has strict regulations regarding the use of consultants, so every consultant request, regardless of cost, must be approved by the Vice Chancellor for Business Affairs before any engagement may take place. The department requesting the consulting service must provide a written justification to the Purchasing Office for review and further processing; a template to assist in compiling the justification is available by contacting your Purchasing Agent. The justification will include details about the particular consultant desired, in cases where one has already been identified, and support their use as opposed to issuing an RFP. In these instances, a separate, additional Waiver of Competition justification is not required.

The justification must include, at minimum, the following points:

- Description of the services to be secured;
- How the work relates to the proper functions of the department;
- The benefits expected to be received from the consultant's services;
- Start and end dates of the contracted services;
- Complete cost estimate as well as the funding source;
- Statement that the costs are reasonable and in line with current market conditions for this service;
- Statement on why the work to be performed by the consultant cannot be reasonably accomplished by current University or State employees;
- Potential sources of consultant services, if any, the department has identified
 - If a particular consultant has been identified as the preferred source then an additional explanation as to why this is the sole or best fit contractor must also be included;
- Any additional information necessary to justify the need for the consultant service.

If the request to hire a consultant is approved, a contract with the consultant must always be put into place prior to the commencement of the project and that contract should clearly define the terms, statement of work, and any deliverables that will be associated with the project.

5.6 Contracting for Services

The University enters into various Contracts so that services can be provided in an efficient and cost-effective manner. Contracts are established with Corporations and

Independent Contractors who either have received an award through a competitive solicitation process, or offer a unique service required by an end using department. The designation of Independent Contractor status is governed by the Internal Revenue Service (IRS) tax code and common law; significant tax penalties exist for incorrect classification of an employee as an Independent Contractor. In addition, contracting with Independent Contractors may expose the University to significant risk, so it is imperative that common standards are applied in both classification and the contracting process. A contract should be created any time an individual or corporation provides a service to the University so that the deliverables and scope of work are clearly defined.

The terms and conditions (T&Cs) governing the contract should also be clearly defined for the protection of both the University and the contractor. Contract documents provided by the vendor must be routed to the Purchasing Office so that the T&Cs can be reviewed to ensure compliance with North Carolina law prior to being signed by the University. The Purchasing Office also has contract templates available on the Purchasing website for use by campus departments who need to create a contract.

University generated contracts will be signed by the vendor's representative and an authorized campus official with delegated authority to bind the University. The authority to contractually bind the University applies to the initial term of the contract, extensions, and any optional renewals. The Office of Legal Affairs has guidelines that delineate those people on campus who have contract signature authority and the types of contracts they may sign. Please refer to [Authority to Sign Contracts](#) to access the complete list.

Additionally, if the contractor is an individual, the Tax Office requires the completion of an Independent Contractor Checklist prior to entering into the agreement if the individual will be providing services for a term of longer than eight (8) hours.

All contracts are subject to the State's procurement statutes and these agreements are addressed in the same manner as standard goods and services purchases. This means that all competition thresholds and Waiver of Competition justification requirements still apply.

5.7 Equipment Lease/Rental Agreements

Lease agreements are entered into for a defined time period, for example, one to three years, and generally cannot be cancelled during the term of the lease. If the equipment will be needed on a continuous basis, it is usually more economical to purchase the equipment outright if the funds are available. Leasing is a financing mechanism, not a funding source. The absence of current funding does not constitute a good reason to choose a lease over a purchase option. The primary reason to lease rather than buy an item is that the needed item is so expensive that its direct purchase is not possible and other financing mechanisms are unavailable or more expensive than leasing. Leases are legally binding contracts that financially obligate the University so new lease agreements are to be entered into only after the lease agreement has been vetted by the Purchasing Office and signed by an authorized University signatory.

There are two types of leases, Operating and Capital. Normally, at the end of an Operating Lease, the leased item is returned to the lessor. With a Capital Lease, the University will own the leased item at the end of the term either with no additional payments or by paying a predetermined final payment. An Operating Lease is treated like a series of rental payments, whereas a Capital Lease is recorded as an asset of the University, with a corresponding liability for the full amount of the lease obligation.

Rental agreements are similar to lease agreements, but differ in that they can generally be terminated by the renter at any point by providing proper notice, typically thirty (30) days. The cost of some equipment is so great that it may be more economical to rent on a continuing, but indefinite basis.

Leases and rentals are also subject to the State's competition requirements if the total costs exceed the established small dollar limit.

5.8 Food Service

Purchases of food or beverages for events that will take place on the UNC Charlotte campus, and are paid for with a University fund, generally must be ordered through Chartwells due to the exclusivity contract in place with that vendor. Contact Chartwells to obtain a quote for the required services and enter your request into the 49er Mart system to have a purchase order generated. Departments also should complete and attach the Entertainment Expenditure form to the requisition, if required; the form and details regarding its use are available from the Financial Services website. Any exceptions to this mandatory use contract must be approved by Auxiliary Services and information about exceptions can be found on the [Auxiliary Services](#) website.

5.9 Furniture Purchases

North Carolina has established mandatory use State Term Contracts with multiple manufacturers and distributors that are to be used to meet office and classroom furniture needs of the University. Detailed information regarding the manufacturers available and the dealers authorized to sell these items to the University is available by reaching out to your Purchasing Agent.

5.10 Honoraria

An honorarium is a payment to an individual generally given as a gift of gratitude for a speaking engagement, presentation, or participation in a campus event. Contracts are not required for honoraria, but the department should memorialize the gift in the form of an official letter to the recipient. Engagements where the individual will be performing a service for the University with expected deliverables are not considered honoraria and should follow the Independent Contractor process.

5.11 Independent Contractors

Individuals who will provide a service to the University are subject to the procurement rules of the state and must have their contract formalized in writing prior to engagement. Once the competition requirements of the state have been satisfied, a contract will be

created using the template available on the Purchasing website; if the individual provides their own contract document, it will be reviewed and edited as necessary to ensure compliance with North Carolina law.

5.12 Live Animal Purchases

All live animal purchases are subject to Federal Regulations and must be coordinated through the Vivarium Department on campus to ensure accurate records are maintained for reporting purposes. Requests to purchase live animals should be entered into 49er Mart using the Animal Order Form and once the requisition is placed into workflow, it will route to the Vivarium for review and approval.

5.13 Niner Tech Store Purchases

The Niner Tech Store (NTS) in the Student Union is the supplier for all Apple Computer products and accessories purchased by University departments. Contact the NTS at ninertechorders@charlotte.edu to secure a quote for the standard products needed and then enter a request into 49er Mart.

All Apple Computer laptop and desktop systems will be delivered to UNC Charlotte's OneIT Desktop Support to be imaged and the OneIT staff will deliver the systems to the end using department when imaging is complete, unless alternative arrangements have already been made.

Departments who have a University Purchasing Card are allowed to make purchases up to \$250 for peripherals, accessories, and boxed, non-enterprise, desktop software from the NTS utilizing their P-Card in lieu of the PO process.

5.14 Office Supply Purchases

Office supplies are available through a mandatory use North Carolina State Term Contract and the current provider is Forms and Supply Incorporated (FSI). Orders can be placed by accessing the vendor's punch-out catalog in 49er Mart and building a shopping cart with the needed items. Please be aware that there is a \$50 minimum order required to avoid a small order surcharge of \$5, so departments are encouraged to consolidate small orders into a single, larger order that meets the minimum.

5.15 Personal Purchases

All goods and services procured at UNC Charlotte are for official use in furthering the mission of the University, and may not be used for personal benefit. All purchases become property of the state of North Carolina and are only to be used for official business through the end of their useful life and then disposed in accordance with official State Surplus Property procedures. Similarly, personal purchases may not be made utilizing contracts and other methods put in place for making State purchases.

5.16 Printing and Copying Services

The Purchasing Office has secured contracts with vendors for the printing of business cards, letterhead, envelopes, and large printing projects; contact the Purchasing Office

or visit the Purchasing website for more information. Additionally, the FedEx service station located in the Prospector Building is an on-campus resource for all printing and copying needs.

5.17 Promotional and Branded Items

Promotional items include any merchandise that contains a UNC Charlotte brand asset and is used to promote the University, whether the items are produced for sale or give-away. Items that fall into this category include, but are not limited to, t-shirts, sweatshirts, pens, coffee mugs, glassware, decals, etc. University Communications has established guidelines that govern the use of all logos and marks of UNC Charlotte, and departments must adhere to these standards when procuring items that use the University's name or logo. Any vendor who will reproduce University marks must be licensed to do so, and that licensing process is coordinated by the Licensing Department.

5.18 Purchasing Card Purchases

The University has an established purchasing card (p-card) program that allows departmental users to obtain a University issued credit card that can be used to make purchases outside of the normal 49er Mart purchase order process. The p-card can be used when a vendor will not accept a purchase order and/or requires payment prior to shipping the desired products; services generally cannot be purchased on a p-card due to additional contract requirements associated with services. The p-card can also be used to make emergency or after-hours purchases when it is not possible to obtain a purchase order through the 49er Mart system. The p-card is the preferred purchasing method for subscriptions, advertisements, and business travel requirements. Please note that in order to procure food or beverages with the p-card, the cardholder must have the Hospitality Profile enabled on their account.

The single transaction limit for both commodity and travel related p-card purchases is \$5,000 and the monthly limit is \$15,000. Exceptions to these limits may be requested on a case-by-case basis from the P-card Administrator.

As explained previously in this Purchasing Manual, the use of an individual's personal credit card is NOT an approved method for making University purchases and is to be avoided.

5.19 Stipends

A stipend is defined as a form of compensation paid to an individual that is provided for training or work that typically is unpaid. The payment is made to help offset expenses incurred by the individual, or to compensate them for their time spent on a task. This differentiates a stipend from a standard contractor payment because there are generally no defined services provided or deliverables turned over at the end of the engagement. Since there is no defined scope of service or deliverables to be provided, a formal contract is not required by the Purchasing Office to process a stipend payment. However, it is recommended that a basic letter of engagement or an email

communication be in place between the individual and the department providing the stipend.

While the classification of what is considered a stipend can be widely open to interpretation, the University will generally allow a payment to be processed as a stipend for a few common engagements.

The below listed circumstances are classified as stipend payments by the UNC Charlotte Purchasing Office:

- A member of a review board, such as a person who participates in a review of expenditures and activities that take place on a grant project
- A participant in a discussion panel
- An individual who attends a conference or event on behalf of a University department
- A Clinical Educator who is participating in a University program, but who is not providing a direct service to the University
- An individual who participates in an engagement as part of a training program

While there may be other instances classified as a stipend, the above list contains the most common occurrences that take place at UNC Charlotte. If there is any question about whether an engagement should be processed as a stipend or a service contract, contact the Purchasing Office for further guidance.

It is also important to note that the Office of Sponsored Projects Administration (OSPA), formerly known as Grants and Contracts, may have differing requirements for some grant funded engagements. Based on the language contained in the particular grant award documentation, a service contract may be required for all engagements funded by that grant, regardless of the Purchasing Office guidance provided earlier in this section. Contact OSPA with any questions related to services funded by a grant.

Please be aware that regardless of the classification of the type of payment, all payments to University and/or State of North Carolina employees will be processed through payroll. All noneducational stipend payments paid to non-employees will be reported on a Form 1099; educational stipend payments should be processed through the SEA system. Generally, no individual may be simultaneously classified as both an employee and an independent contractor in the same calendar year.

5.20 Trade-In of Equipment

Some used equipment has value when used as a trade-in on the purchase of new equipment. All requests to trade-in equipment must be approved by the State Surplus Property Office, regardless of the dollar amount. When a campus department wishes to trade-in used equipment, a request to do so must be made through the use of the Fixed Asset Disposition and Change E-form. This E-form is to be used for both fixed asset and non-fixed asset items and will be routed for the necessary approvals; the requester will be notified once it has been approved. Trade-in requests must include a description

of the item; current condition; date of acquisition; purchase price; vendor offering the trade-in; full price of the replacement item; trade-in allowance for the used item; and the reason the trade-in is more advantageous than sending the item to Surplus Property. This information is then sent to the Purchasing Office for review. If the University deems the trade-in to be in the best interest of the University, the Purchasing Office will request approval from the State Surplus Property Office. If the request is approved by State Surplus Property, the University may offer the existing equipment as a trade-in under the purchase agreement.

Any trade-in requests that are denied will require the item be transferred to Surplus Property and sold at auction in accordance with the State's rules on property disposition.

[Fixed Asset Disposition E-form](#)

5.21 Used Equipment

In most cases, the University enters into agreements for the purchase of new equipment, however, there are instances where the purchase of used or refurbished equipment represents a good value to the University and would make the most responsible financial sense to pursue. Purchases of used items are allowed and require completion of the Waiver of Competition Justification form that will be submitted to the Purchasing Office for approval.

The purchase of used equipment presents variables that should be considered before acquiring the equipment, so the requesting department should first identify the minimum specifications required and then verify that the specific used equipment is acceptable. Best efforts should be applied to determine that the purchase of the equipment would be in the best interest of the University and can be clearly documented in the justification. The justification should explain how the purchase represents a good value to the University and compares the cost of the used item to that which a new item would cost, thus demonstrating the savings.

5.22 Use of Amazon

The Amazon punchout catalog in 49er Mart was established as a resource for departments to purchase items that are not available through one of the University's contracted vendors. There is no contract in place with Amazon, so this vendor should only be used when items are not available from a contracted source. If there is an instance where Amazon offers a lower delivered price for an item that is available through a contracted vendor, Amazon may be used if documentation of the lower cost is provided. Departments must capture a screenshot of the item, including cost, from the contracted vendor and attach it to the 49er Mart requisition with Amazon to substantiate the savings.

The Purchasing Agent assigned to your department is available to provide assistance locating items with a contracted vendor and how to search catalogs in 49er Mart.

5.23 Vehicle Rentals

A North Carolina State Term Contract exists for rental vehicles needed for official state business and the contract holders are Enterprise/National Car Rental and Hertz. Departments who need to reserve a rental vehicle can make the reservation by accessing the Enterprise or Hertz catalog available through the 49er Mart eProcurement platform. The user will have the option of creating a reservation using direct billing to the University, or using a University issued p-card. The p-card can be used for reservation purposes, but be aware when making the reservation that the credit card used at the time the reservation is processed will need to be physically present with the user of the rental vehicle. If the p-card holder making the reservation is NOT the person using the vehicle, it should not be used.

5.24 Warehouse Purchases

The Warehouse on campus can provide many day-to-day departmental supplies such as copy paper, rolls of paper towels, and office chairs. A complete listing of all stock items is available in their 49er Mart catalog, but they can also procure special order items on a case-by-case basis. To place an order, complete a 49er Mart requisition in the same manner as with any other catalog vendor.